

## Enhancing Provitamin A of Maize using functional gene markers

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### ABSTRACT:

Vitamin A deficiency is a global health problem and can be effectively alleviated by improved nutrition. Development of cereal crops with increased provitamin A carotenoids can provide a sustainable solution to eliminating vitamin A deficiency worldwide. Maize is the only major cereal crop that can naturally accumulate appreciable levels of provitamin A in the kernels. Maize germplasm resources exhibit wide genetic variation and allelic diversity for carotenoid components and carotenoid biosynthesis genes. The favourable alleles of key genes in the carotenoid biosynthetic pathway are associated with higher accumulation of  $\beta$ -carotene in the maize kernel. Functional markers were developed and demonstrated for use in selecting favorable alleles of the key genes, *LcyE* (*lycopene epsilon cyclase*), *CrtRB1* ( *$\beta$ -carotene hydroxylase*) and *PSY1* (*phytoene synthase1*) loci. Selection for these alleles will greatly benefit in identification of genotypes with higher  $\beta$ -carotene concentration, thus reducing the need for large scale phenotypic assays. Here, we discuss maize carotenoid biosynthesis pathway, genetic variability for the key genes and functional markers for the enhancement of provitamin A concentration in edible maize endosperm. We also discuss challenges for optimizing provitamin A carotenoid biofortification of maize. This knowledge will be helpful to understand the involvement of the functional markers of key genes of carotenoid pathway for enhancing provitamin A level of the maize kernels through biofortification.

**Keywords:** maize, provitamin A,  $\beta$ -carotene, *LcyE* & *CrtRB1*, *PSY1*, functional markers, biofortification.

### INTRODUCTION:

Provitamin A refers to the carotenoids that can be converted into physiologically activated vitamin A (retinol) in the human body and includes  $\alpha$ -carotene,  $\beta$ -carotene, and  $\beta$ -cryptoxanthin. Moreover,  $\beta$ -carotene can generate two molecules of vitamin A, while  $\alpha$ -carotene and  $\beta$ -cryptoxanthin, which only have a single non-hydroxylated  $\beta$ -ring, can only produce one vitamin A [13]. Vitamin A is an

essential nutrient needed by the humans for normal functioning of visual system, growth and development and maintenance of epithelial cell integrity, immune system and reproduction. World Health Organisation (WHO) recommends estimated average requirements of 250 and 500 RE (Retinol Equivalents) per day for childrens and adults respectively, for their normal growth and development [6]. Humans are unable to

synthesize their own vitamin A requirements and dietary needs for vitamin A are normally provided as preformed retinol or provitamin A carotenoids from plant based foods. WHO defines Vitamin A deficiency (VAD) as “if the tissue concentration of vitamin A is low enough to have adverse health consequences even if there is no evidence of clinical xerophthalmia” [45]. VAD alone affects over 250 million people worldwide and accounts for about 70% of the childhood deaths across the world [46]. WHO estimates that 250,000 to 500,000 children become blind every year due to VAD. It contributes to predisposition to several infectious diseases such as anemia, diarrhea, measles, malaria and respiratory infections. VAD also contributes to maternal death, malnourished pregnancy and poor lactation, making the young children, pregnant women and lactating mothers most vulnerable [5]. India is the home of 120 million pre-school children of which 36 million are estimated to be vitamin A deficient. Among them 1.17 million pre-school children are affected by night blindness and it is estimated that nearly 20,000 children go blind every year in India due to severe VAD [44]. India also faces a severe burden of maternal VAD with 50% of the total six million reported case of maternal night blindness living in the country [44]. A provisional estimate suggests that VAD could be a significant problem in pre-adolescent (1 -15 years) children as well [36]. Thus any effort directed to minimize VAD will have a positive impact on the health of humans. VAD is continuous and serious health problem in many countries, a multipronged strategy were used worldwide to alleviate and combat the VAD related health problems. Dietary diversity, food biofortification, supplement tactics and crop biofortification have been suggested to solve VAD problem. The first three solutions are expensive and inaccessible for the poverty in developing countries, reducing their efficiency and application (FAMOBIB FAO/Food Nutrition Division). Also, few studies using high doses of synthetic  $\beta$ -carotene supplements have shown an increased risk of susceptibility to some diseases, not a decrease. This suggests food

sources containing natural carotenoids may be more beneficial than vitamin supplements [39]. Biofortification is the breeding of staple food crops to increase micronutrient density [6, 29]. Because of the widespread consumption of staple crops, crop biofortification may be an effective and sustainable way of addressing micronutrient malnutrition including VAD [16].

### **MAIZE AS A MODEL CROP FOR NUTRITIONAL ENHANCEMENT**

In the 1930s, the discovery of increased nutrition in yellow maize grain [25] led to selection of pigmented grain as a desirable quality trait for both human food and animal feed [4, 43]. At present, the developed world uses more maize than the developing world, but forecasts indicate that by the year 2050, the demand for the maize in the developing countries will double [34]. Maize is the only major cereal crop that can naturally accumulate appreciable levels of provitamin A in the kernels [48]. Maize germplasm resources exhibit wide genetic variation and allelic diversity for carotenoid components and carotenoid biosynthesis genes [9, 18, 49] and thus, are an obvious target for biofortification project. Maize has been targeted for biofortification for other nutrients for decades and the efforts were largely successful. The significant variation in carotenoid content and composition of maize suggests that maize diversity may hold clues as to the target genes that could be manipulated by breeding or transgenics for improvement of cereal crop provitamin A content [18]. Provitamin A biofortification of maize has become a feasible approach to address the challenge of VAD in developing countries [18, 49].

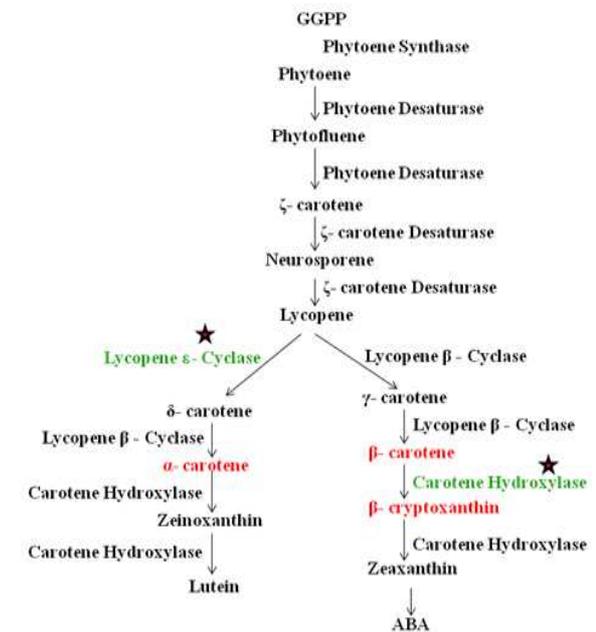
### **PROVITAMIN A SYNTHESIS IN MAIZE**

In maize carotenoid biosynthesis occurs during seed development [21] and the accumulation of carotenoids imparts a yellow-orange color to the endosperm, an easily scored phenotype. In maize seed endosperm, the primary carotenoids that accumulate in diverse cultivars are either lutein or zeaxanthin or a combination of both. Provitamin A compounds are biosynthetic pathway intermediates and therefore usually not

the predominant carotenoids in endosperm, the target of provitamin A biofortification. Vitamin A is a C<sub>20</sub> enzymatic cleavage product made in humans from plant carotenoids containing an unmodified β-ring [42]. α-carotene and β-cryptoxanthin have provitamin A potential, due to their single unmodified β-ring, but β-carotene is the most efficient source, as two retinol molecules may be derived from each β-carotene molecule. Carotenoids are derived from products of Glycolysis or Isoprenoid biosynthesis. Plastid localized methylerythritol 4-phosphate (MEP) supplies isoprenoid precursors for carotenoids. Glyceraldehyde-3-phosphate and pyruvate are combined to form deoxy-D-xylulose 5-phosphate (DXP), a reaction catalyzed by *DXP synthase* (DXS), and a number of steps are then required to form geranylgeranyl diphosphate (GGPP), the precursor to carotenoid biosynthesis as well as to other biosynthetic pathways [33]. The first carotenoid, phytoene, is produced by the condensation of two GGPP molecules, a reaction that is catalyzed by *phytoene synthase1* (*PSY1*). Two desaturases (*PDS*, *phytoene desaturase*; *ZDS*, *ζ-carotene desaturase*) and two isomerases (*Z-ISO*, *ζ-carotene isomerase*; *CRTISO*, *carotenoid isomerase*) introduce a series of double bonds and alter the isomer state of each biosynthetic intermediate to produce all trans lycopene. At this point the main biosynthetic pathway branches, depends on cyclization activity. Asymmetric cyclization of lycopene by both ε-lycopene cyclase and β-lycopene cyclase (*LcyE* and *LcyB*, respectively) produces α-carotene with one ε and one β-ionone ring [12]. Symmetric cyclization by *LcyB* yields β-carotene, with two unmodified β-ionone rings. Hydroxylation of the carotene β-ionone ring by one of two classes of structural distinct carotene hydroxylase enzymes eliminates provitamin A potential. The hydroxylated carotenes include the non-provitamin A xanthophylls, lutein, and zeaxanthin, which may be further modified to other xanthophylls, some of which are cleaved to form ABA [28]. Therefore pathway branching and hydroxylation are the key determinants in controlling provitamin A levels in maize kernels

(Figure 1). The details of the carotenoid pathway are depicted in figure 1, based on the information provided by [1].

**Fig. 1 :** Carotenoid biosynthetic pathway



**CANDIDATE GENES INVOLVED IN ACCUMULATION OF PROVITAMIN A**

The genes encoding key enzymes in the carotenoid biosynthesis pathway namely; *phytoene synthase 1* (*PSY1*), *phytoene desaturase* (*PDS*), *ζ-carotene desaturase* (*ZDS*), *lycopene ε-cyclase* (*LcyE*), *lycopene β-cyclase* (*LcyB*), *β-carotene hydroxylase 1* (*CrtRB1*) have been elucidated and cloned in plants. The genes encoding key enzymes in the carotenoid biosynthesis pathway and their location in the genome are represented in table 1.

**Table 1 :** Maize genes encoding key enzymes in the carotenoid biosynthesis pathway and their location.

Gene encoding enzyme	Location		Reference
	Chromosome	Bin	
<i>phytoene synthase 1(PSY1)</i>	6	6.01	Buckner et al. (1996)
<i>phytoene desaturase (PDS)</i>	1	1.02	Hable et al. (1998)
<i>ζ-carotene desaturase (ZDS)</i>	7	7.02	Matthews et al. (2003)
<i>lycopene β-cyclase (LcyB)</i>	5	5.04	Singh et al. (2003)
<i>lycopene ε-cyclase (LcyE)</i>	8	8.05	Harjes et al. (2008)
<i>β-carotene hydroxylase 1 (CrtRB1)</i>	10	10.06	Yan et al. (2010)

***phytoene synthase (PSY1)***

As early as 1940, the yellow 1 (*Y1*) locus was found to have a gene dosage effect on seed carotenoid content [32]. Cloning and subsequent sequence analyses identified the *Yellow 1 (Y1)* gene as encoding *PSY1 (phytoene synthase1)* which play a vital role by condensing two Geranylgeranyl pyrophosphate (GGPP) molecules into one molecule of phytoene [7, 8]. Plants that contain *phytoene synthase1* gene (*PSY1/Y1*) produce carotenoid in both endosperm and leaves. The kernel carotenoid in maize is determined by allelic constitution of *Y1* which largely determines the variation of kernel colour from white to intense orange [7]. The *Y1Y1* and *Y1y1* alleles produces yellow kernel as a result of the accumulation of carotenoids, while *y1y1* allele produces white kernels that contains no carotenoids [23]. Overexpression of the *PSY1* gene in white kernels leads to significant carotenoid accumulation, confirming the essential role of *PSY1* for carotenoid biosynthesis in maize [50]. The *Y1* gene was mapped to chromosome 6 (bin 6.01), and was cloned by Robertsons' mutator transposon tagging [8]. The strong influence of dosage effect of *Y1* on quantitative variation for carotenoids has been well documented [10, 47]. There are two polymorphic sites within *PSY1*, 378-bp InDel upstream of the transcription start site and a SNP in the fifth exon resulting in a Thr to Asn substitution [15], which accounts 7 and 8 % of the total carotenoid variation respectively, and these may be functional sites associated with total carotenoid levels in maize grain. Analysis of the evolution of *PSY1* strongly suggests that there was positive selection for these polymorphic sites after the divergence of yellow maize from white maize.

***phytoene desaturase (PDS) and ζ-carotene desaturase (ZDS)***

Lycopene, the first colored component, generated from phytoene via desaturation by *phytoene desaturase* and *ζ-carotene desaturase* [20]. *Phytoene desaturase* is the second enzyme in the carotenoid biosynthetic pathway and is responsible for a two step desaturation, taking

phytoene to zeta ( $\zeta$ )-carotene. *Phytoene desaturase* has been associated with the mutant *viviparous 5 (vp5)*, a white endosperm mutant deficient in both carotenoids and ABA. The *vp5* gene has been cloned and mapped to chromosome 1 (bin 1.02) in maize [20, 17]. *ζ-carotene desaturase* is the third enzyme in the carotenoid biosynthetic pathway and is responsible for a two step saturation from  $\zeta$ -carotene to lycopene. Recently, it was discovered that the maize *y9* locus encodes *ζ-carotene isomerase (Z-ISO)*, a previously unknown pathway enzyme that is necessary for carotenogenesis in all plants [11]. Without *Z-ISO* function, provitamin A carotenoids cannot be produced in the endosperm, the target tissue for biofortification. A cDNA encoding *ZDS* has been cloned and mapped on chromosome 7 (bin 7.02) in maize [24, 26].

***lycopene beta cyclase (LcyB) and lycopene epsilon cyclase (LcyE)***

The first branch point of this pathway occurs at cyclization of lycopene where action of *lycopene beta cyclase (LcyB or βLCY)* at both ends of linear lycopene produces a molecule with two  $\beta$  rings. Alternatively, the coactions of *lycopene beta cyclase (LcyB)* and *lycopene epsilon cyclase (LcyE or εLCY)* generates a  $\beta$ ,  $\epsilon$ -carotene that is a precursor to lutein. Relative activities of *LcyB* and *LcyE* are hypothesized to regulate the proportion of carotenes directed to each branch of this pathway [31]. Studies on targeted mutagenesis of the *pink scutellum1/viviparous7 (ps1/vp7)* locus in maize showed, *ps1* to encode *lycopene β-cyclase* which maps to chromosome 5 (bin 5.04) is necessary for the accumulation of both abscisic acid and the carotenoid zeaxanthin immature maize embryos [35]. [18] reported that downregulation of *LcyE* reduces the ratio of the  $\alpha$ -carotene branch to the  $\beta$ -carotene branch. The *LcyE* gene has been mapped to chromosome 8 (bin 8.05) near the SSR marker *bngl1599*. The selection of favourable allele for high  $\beta$ -carotene content was invested using allele mining strategy and four natural *LcyE* polymorphisms explained 58 % of the phenotypic variation of provitamin A with a threefold increase compounds between the lines

with the lowest and highest carotenoid contents were reported by [18].

### ***β-carotene hydroxylase 1 (CrtRBI/HYD3)***

*β-carotene hydroxylase 1 (CrtRBI*; also known as, *HYD3*) that causes the hydroxylation of  $\alpha$ -carotene and  $\beta$ -carotene into the non-provitamin A carotenoids lutein and zeaxanthin respectively. Hydroxylation of carotenes depletes the provitamin A carotenoids thereby increasing non-provitamin A xanthophylls [27]. To identify target genes for blocking carotene hydroxylation, maize genes encoding *carotene hydroxylases* were investigated. Two structurally distinct classes of enzymes were found to be encoded by a total of eight genes in maize [38]. Using the maize diversity core collection produced by metabolite sorting, it was possible to pinpoint the one *carotene hydroxylase* encoded by the *Hydroxylase3 (HYD3)* locus, whose transcript levels negatively correlated with high  $\beta$ -carotene levels and positively correlated with zeaxanthin levels. *HYD3* was mapped close to a known QTL for  $\beta$ -carotene composition. PCR genotyping of 51 maize lines showed that the *HYD3* locus could explain 36% variation and fourfold difference in  $\beta$ -carotene levels [38]. Allele mining of *CrtRBI* gene by [49] confirmed that *CrtRBI* underlines a principle quantitative trait locus associated with  $\beta$ -carotene concentration and its further conversion to other non-provitamin A carotenoids in maize kernels. This gene was mapped on chromosome 10 (bin 10.06) and *CrtRBI* alleles were found to be associated with reduced transcript expression of the gene which correlates with higher  $\beta$ -carotene concentrations in the kernel.

### **FUNCTIONAL GENE MARKERS FOR ENHANCING PROVITAMIN A**

In maize, three genes have been proposed to play crucial roles in the final accumulation of provitamin A carotenoids in the grain. *Phytoene synthase1 (Y1 or PSY1)* catalyses the first committed step in the pathway leading to formation of phytoene from geranylgeranyl diphosphate and is primarily responsible for the shift from white to yellow maize [21]. Two

genes, *lycopene epsilon cyclase (LcyE)* and *β-carotene hydroxylase 1 (CrtRBI)* have been shown to regulate the accumulation of provitamin A compounds [18, 49]. [18] identified 3 polymorphic sites in *LcyE* gene (*LcyE-5'TE*, *LcyE-SNP216* and *LcyE-3'InDel*) associated with reduction in lutein content and concomitant increase in total provitamin A concentration. [49] identified 3 polymorphic sites in *CrtRBI* (*CrtRBI-3'TE*, *CrtRBI-InDel4* and *CrtRBI-5'TE*) accounting for 40% of the observed variation in  $\beta$ -carotene concentration in maize endosperm and found *CrtRBI-3'TE* polymorphisms are more consistent than that of *CrtRBI-5'TE*. [40] identified 10 SNPs and 6 InDels in the 3'- untranslated region (UTR) of allele1 of *CrtRBI-3'TE* gene which could be associated with the variation in kernel  $\beta$ -carotene accumulation by regulating the translation and stability of the mRNA. These SNPs and InDels associated with higher level of  $\beta$ -carotene will be used as a gene based markers in selection of genotype and to develop biofortified maize to alleviate vitamin A. [15] identified two polymorphisms in the gene encoding *phytoene synthase (PSY1-InDel1 and PSY1-SNP7)*, explaining 7 to 8% of the variation in total carotenoids. Molecular markers based on functional polymorphisms within *Psy1*, *LcyE* and *CrtRBI*, hold great potential for accelerated and resource efficient development of provitamin A enriched lines. With the development of PCR-based markers for these genes and an understanding of the different frequencies of favorable alleles for each gene among different germplasm, breeders can now use targeted strategies to improve the trait in any germplasm pool [15]. Nomenclature of functional DNA markers and their allelic series in represented in table 2. The *PSY1*, *LcyE* and *CrtRBI* genes affect provitamin A levels and composition differently. The high level of natural variation for provitamin A components largely relates to the upregulation of *PSY1* [14], but downregulation of *LcyE* and *CrtRBI*[49], which coincide with the biological functions of these genes. Combining favorable alleles for *LcyE* and *CrtRBI* will increase the content of  $\beta$ -

carotene at the expense of other carotenoid components, whereas *PSY1* can increase  $\beta$ -carotene content by increasing the amount of substrate flowing into the carotenoid biosynthesis pathway. The favourable allele of *PSY1* are almost fixed in the tropical background and favorable alleles of *LcyE* and *CrtRBI*, however, are very rare in tropical germplasm and may be targeted for high provitamin A maize breeding the tropical region [15]. *CrtRB*-3'TE had large, significant effect on enhancing  $\beta$ -carotene and total provitamin A content, irrespective of genetic constitution for *LcyE*-5'TE and Marker Assisted Selection (MAS) for favorable 'allele 1' of *CrtRBI* can lead to rapid doubling, or more, of total provitamin A concentration. In contrast, MAS for favorable 'allele 4' of *LcyE* generally results in 20-30 % increase in total provitamin A concentration. Thus, MAS for the *CrtRBI* locus alone appears to be a reliable strategy for rapidly achieving genetic gains for  $\beta$ -carotene and total provitamin A carotenoids in tropical maize breeding programs [3]. Tropical maize inbred lines harbouring the favourable alleles of the *CrtRBI*-5'TE and *CrtRBI*-3'TE functional markers produce higher levels of provitamin A which can be used as donor parents to speed up the development of provitamin A biofortified tropical maize [2]. The  $\beta$ -carotene concentration of Indian maize genotypes is low even though they possess favourable allele of *CrtRBI*-3'TE [41]. However, the CIMMYT-HarvestPlus bred maize inbreds with high kernel  $\beta$ -carotene and *CrtRBI*-3'TE favourable allele [37] offer tremendous possibility to breed for high  $\beta$ -carotene maize through MAS. Using these  $\beta$ -

carotene enriched genotype as donors, marker assisted backcross breeding (MAB) programme has been already initiated at several research institutes in India. The *CrtRBI*-3'TE favourable allele from high  $\beta$ -carotene genotypes is being introgressed into tropical inbreds to reach the HarvestPlus's target level of 15  $\mu\text{g/g}$  of kernel  $\beta$ -carotene in the tropical maize hybrids. Functional markers located within the target genes offer efficient means of tracking the favorable alleles in backcross or pedigree breeding programs. However, genetic background in which these favorable alleles reside, population size, nature of gene action (additive or epistatic), trait heritability and marker trait relationships influence the effectiveness of such MAS in routine breeding programs. Though the potential applications of MAS are attractive for selecting natural variants of large effect carotenoid genes, it is rarely a straight forward exercise in a practical breeding program. Applying these markers CIMMYT breeders have already conducted preliminary evaluations of tropical maize lines from crosses introducing favourable alleles for *LcyE* and *CrtRBI*, the key genes in  $\beta$ -carotene biosynthesis pathway [30]. Large scale validation of the effect of molecular marker polymorphism in these two genes was carried out at CIMMYT, and the results suggests that by doing MAS with markers reported for these genes, it would be possible to breed tropical maize varieties with high concentration of  $\beta$ -carotene for alleviating the widespread VAD in Humans [3].

**Table 2 :** Nomenclature of functional DNA markers and their allelic series

Gene	Polymorphic site	Nature of polymorphism	Allelic series	Favourable allele	Reference
<i>PSY1</i>	<i>PSY1</i> -SNP 7	A-C SNP	A, C	A	Fu et al. (2013)
	<i>PSY1</i> -InDel1	378 bp InDel	0, 378	378	
<i>LcyE</i>	<i>LcyE</i> -5'TE	250 bp InDel	1,2,3,4	1,4	Harjes et al. (2008)
	<i>LcyE</i> -SNP 216	G-T SNP	G,T	G	
	<i>LcyE</i> -3'InDel	8 bp InDel	8, 0	8	
<i>CrtRB</i> <i>1</i>	<i>CrtRBI</i> -5'TE	397/206bp InDel	1,2,3	2	Yan et al. (2010)
	<i>CrtRBI</i> -InDel4	12 bp InDel	12, 0	12	
	<i>CrtRBI</i> -3'TE	325/1250 bp InDel	1,2,3	1	

## LOOKING FORWARD TO ENHANCE PROVITAMIN A IN MAIZE

Although  $\beta$ -carotene accumulation via *CrtRB1* and *LcyE* is currently the primary selection target for provitamin A biofortification, any potential improvement is limited unless the total flux of GGPP into the carotenoid biosynthesis pathway can be increased [3]. An additional promising approach that remains to be tested is the endosperm specific upregulation of isoprenoid precursor synthesis. Transcript abundance of several isoprenoid genes was found to positively correlate with endosperm carotenoid content [38] and thus those genes are potential biofortification targets. Genetic control of maize grain carotenoid profiles is coordinated through several loci distributed throughout three secondary metabolic pathways (MEP, Isoprenoid and Carotenoid), most of which exhibit additive, and more importantly, pleiotropic effects [19]. Transgenic manipulations may offer the most expedient approach to control these additional targets given the absence of known regulatory factors to control multiple steps. Transgenic maize plants have been engineered to accumulate a wide variety of carotenoid intermediates and unusual keto-carotenoids and seeds ranged from white and yellow to dark-red, despite the white-endosperm genetic back-ground [1, 50]. This achievement demonstrates remarkable plasticity in carotenoid accumulation and indicates that the targets identified by metabolite sorting and transcript profiling can be successfully manipulated. Combining systems biology tools in an effective way will make for significant advances in metabolic engineering and biofortification of provitamin A carotenoids in cereal food crops. Improving the nutritional composition of such staples could have a positive impact on the health of millions of people worldwide.

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